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RAUL BRUZZONE

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Title: CONTROL OF MULTIDIRECTIONAL ANTENNA STRUCTURE IN A PRIMARY STATION FOR USE IN A RADIO COMMUNICATION NETWORK

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CLAIM FOR PRIORITY

Sir:

A certified copy of the **EUROPEAN** Application No. **99402664.9** filed **OCTOBER 26, 1999** referred to in the Declaration of the above-identified application is attached herewith.

Applicant claims the benefit of the filing date of said **EUROPEAN** application.

Respectfully submitted,

February 10, 2001  
Enclosure

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CERTIFICATE OF MAILING

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Bescheinigung

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Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

99402664.9

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
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I.L.C. HATTEN-HECKMAN

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**Blatt 2 der Bescheinigung  
Sheet 2 of the certificate  
Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.:  
Demande n°: 99402664.9

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Anmelder:  
Applicant(s):  
Demandeur(s):  
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Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:

Control of multidirectional antenna structure in a primary station for use in a radio communication network

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

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## FIELD OF THE INVENTION

The invention relates to a primary radio station for use in a communication system comprising a plurality of secondary radio stations, said primary station having a multi-directional controllable  
5 antenna structure.

The invention also relates to a method for controlling a multi-directional controllable antenna structure in a primary radio station intended to communicate with secondary stations of a radio communication network.

The invention finally relates to a radio communication system comprising such a primary radio  
10 station, and to a computer program comprising computer program code means to make such a primary radio station execute such a controlling method.

## BACKGROUND OF THE INVENTION

15 Such primary stations are for example known from EP patent application 0 752 735 A1. The advantage of mobile station based spatial diversity are well known: it provides reduced co-channel interference and consequently increased network capacity. It also reduces the power consumption in mobile stations, consequently extending the operating time between two battery charges.

One of the aim of the invention is to propose a way of controlling a multi-directional  
20 controllable antenna structure in a primary radio station intended to communicate with secondary stations of a radio communication network.

## SUMMARY OF THE INVENTION

This is achieved with a primary radio station as claimed in claims 1 to 3. According to the  
25 invention, the secondary stations that are active (i.e. the secondary stations that are actively communicating with the primary radio station) or that are suitable for becoming active (i.e. that may become active at any time depending on the position of the primary radio station in the network) are determined by the primary radio station. The directions of signals received from these active and alternative secondary stations are calculated and stored. In this way the primary station can control

the antenna structure depending on the direction stored for the secondary station with which it is currently communicating.

In a preferred embodiment the primary station has means for tracking the direction of an active secondary station with said controllable antenna structure. This embodiment allows to remain in communication even in case of very sudden movement of the user, notably in case of rotation.

When the antenna structure comprises a plurality of directional antenna, a particularly efficient way to determine active and alternative secondary stations is to acquire quality data relating to secondary stations - antenna pairs, and to make a selection based on the acquired quality data. For example the secondary stations are selected only if their quality data are above a predetermine threshold. Among selected secondary stations, the secondary station of highest quality is for example selected as an active secondary station and the other secondary stations are selected as alternative secondary stations.

#### BRIEF DESCRIPTION OF THE DRAWINGS

- figure 1 is a drawing of a radio communication system according to the invention,
- figure 2 is a block diagram of a primary station according to the invention,
- figure 3 is a chart showing the operations of a primary station as regards the control of its antenna structure,
- figure 4 is a chart showing the secondary station tracking process,
- figure 5 gives a representation of a table called RANK table used for storing data relating to secondary stations and antennas,
- figure 6 is a block diagram of the receiving part of a primary station according to the invention,
- figure 7 shows the gravitational and magnetic fields in a coordinate system attached to the earth,
- figure 8 is a chart of a converting method used for converting a vector known in a coordinate system attached to the primary radio station into a coordinate system attached to the earth,
- figure 9 is a diagram showing the steps of an embodiment of an initialization phase for a CDMA primary station,
- figure 10 is a time diagram showing updating intervals interleaved with paging intervals,



- figure 11 is a diagram showing the steps of an embodiment of an updating phase for a CDMA primary station.

#### DESCRIPTION OF PREFERRED EMBODIMENT

5           An example of a radio communication network according to the invention is represented on figure 1. This radio communication network is a mobile phones spread spectrum communication network. But the invention also applies to radio communication networks having other applications and/or using other multiple access techniques. For example it also applies to satellite radio communication networks, or to time and/ or frequency division multiple access techniques. When  
10   secondary stations are satellite stations, updating are sufficiently frequent for the direction of the signal received from the secondary station to remain approximately constant despite the satellite movements.

          In the radio communication network described in figure 1 the secondary radio stations are base stations and the primary radio stations are mobile stations. Each base station 1 is covering a  
15   specific cell 2 (that can be sectored) and is intended to communicate via radio links 3 with mobile stations 4 located in this specific cell 2. Each base station is connected through a base station controller 5 to a mobile telephone exchange 6. One base station controller 5 may connect several base stations 1, and one mobile telephone exchange 6 may connect several base station controllers 5. Mobile telephone exchanges 6 are interconnected via e.g. the public switched telephone network 8.  
20   Cells 2 are overlapping so that a mobile station associated to one cell is able to detect signals of several adjacent cells in different directions. This feature serves notably the purpose of moving from one cell to another without interruption of communications. This process is usually called handoff or handover.

          Figure 2 gives a representation in blocks of an example of a mobile station 4. This mobile  
25   station 4 comprises a controllable antenna structure 9. This controllable antenna structure 9 comprises one omni-directional antenna A(1) and five directional antennas A(2) to A(6). Antennas A(i) are connected to a duplexer 12 via switches X(i) respectively. Switches X(i) are respectively controlled by signals C(i). The duplexer 12 is connected to a transmitting device 16 and to a receiving device 17. Signals C(i) are output by a microprocessor 18. The microprocessor 18 has a memory 18a for storing

data and processing means 18b for processing data, notably data received from receiving device 17, data to be sent to transmitting device 16, and data received from a sensing device 19.

Controllable antenna structures comprising a plurality of directional antennas are particularly well suited to mobile phones operating at 2Ghz or even higher frequencies. In fact current

5 technologies do not allow the manufacturing of small phase-arrays at those frequencies.

Figure 3 is a general description of the operations of a primary station as regards the control of its antenna structure. Details on specific parts of this diagram will be given later on.

At step 100 the primary station is powered on and starts an initialization phase comprising steps 110 to 160. At step 110 the primary station acquires data D, relating to available secondary  
10 stations ASS. At step 120, acquired data are checked using a predefined criteria. If no secondary station is complying to this criteria (arrow 125) this means that communication is impossible and operations reinitiate at step 110 (due to change in the position of the primary station or to modification of the radio environment the situation may improve afterwards). At step 130 the secondary station which data best comply with the predefined criteria is selected to be the active  
15 secondary station B\_ACT (the active secondary station is intended to actively communicate with the primary radio station). Such a selection implies a request from the primary radio station to the selected secondary radio station and an acknowledgement from the selected secondary radio station. If the secondary radio station rejects the request, another secondary radio station must be selected. At step 140, the primary station calculates and stores the direction of signals received from this active  
20 secondary station H\_ACT. This direction is called heading of the secondary station. At this stage the primary station is able to control its antenna structure depending on the heading of the active secondary station. At step 150, alternative secondary stations B\_ALT(j), suitable for becoming active (i.e. complying with the above mentioned criteria), are selected. These alternative secondary stations may become active in case of handoff (a handoff occurs when the primary radio station has moved so  
25 that there is one alternative secondary station that becomes more capable of carrying communications than the current active secondary station).

At step 160 the primary station calculates and stores the directions of signals received from these alternative secondary stations H\_ALT(j).

At this stage the initialization of the primary station is completed. Then (at step 170) data relating to available secondary stations are regularly updated as well as the selection of active and alternative secondary stations. And headings of new active or alternative secondary stations are calculated and stored. In this way the primary station is able to control its antenna structure depending on the heading of the active secondary station, at least once, even after a handoff (step 180).

In a preferred embodiment the primary station also tracks the direction of the currently active secondary station with its controllable antenna structure. An example of such a tracking process will now be described by referring to figure 4 for an antenna structure comprising a plurality of directional antennas. At step 400 the primary station detects that the quality of the communication with the currently active secondary station is decreasing below a predefined level  $T1'$ . The headings  $H(A(i))$  of the directional antennas of the primary station are known in a coordinate system attached to the primary station. At step 410 they are converted into a coordinate system attached to the earth by using a conversion method described below. Then at step 420 the results of these conversions are compared with the heading of the currently active secondary station. And at step 430 the antenna which heading in the earth coordinate system is the nearest from the heading of the secondary station is selected to carry on with the communication. This embodiment allows to remain in communication even in case of very sudden movement of the user, notably in case of rotation.

Details will now be given on specific parts of the diagram of figure 3.

#### I. Selection of the active secondary station

Data relating to available secondary stations are first acquired. Then the active secondary station is selected based on these acquired data.

- In a first embodiment these data are acquired for all available pairs of secondary station and antenna.

These data are quality data representative of the quality of the signal received from a specific secondary station via a specific antenna. This quality data may be for example the received power or, when available, the Bit Error Rate (BER) or the Frame Error Rate (FER). The BER is simple and fast to evaluate. Its evaluation can be repeated very frequently. The FER gives a more precise indication of

the quality of the received signal.

Quality data obtained for all pairs of secondary station and antenna are stored in a table called RANK.

This table is represented on figure 5: it has two entries one for the secondary station identifier  $I_{ss}$  and the other for the antenna identifier  $I_A$ . It gives the value of the calculated quality data.

- 5 An active secondary station is selected if there is at least one secondary station which quality data (here the received power) is above a first predefined threshold ( $T_1$ ). In such a case the active secondary station is the secondary station of the pair having the highest quality data. In this embodiment the best antenna to be used with this secondary station is obtained at the same time: it is the antenna of the pair having the highest quality data.
- 10 - In a second embodiment the quality data are acquired for each available secondary station using a predefined state of the controllable antenna structure, for example by using an omnidirectional antenna if available. The secondary station having the highest quality data is then selected to be the active secondary station. In this embodiment the best state of the antenna is not available at this stage. Once the heading of the active secondary station will be available, the primary station will be in
- 15 position to determine the best direction for the controllable antenna structure. This process will be described in more details in the following of the description.

## II. Selection of the alternative secondary station

- 20 - In a first embodiment the selection of alternative secondary stations is based on the data acquired at step 110.
- In a second embodiment the active secondary station that has been selected sends a list of "neighboring" secondary stations to the primary station. And the primary station acquires quality data relating to these neighboring secondary stations. The new acquired data are taken into account (with or without the quality data acquired at step 110) for selection of the alternative secondary stations.
- 25 In practice the secondary stations contained in the "neighboring" list are added to the RANK table.

## III. Calculation of the heading of the selected secondary stations

The first step (described in paragraph III.1) consists in calculating the heading of the selected secondary stations in a coordinate system attached to the primary station (called local coordinate

system in the following of the description). Then the second step (described in paragraph III.2) consists in converting the calculated headings in a coordinate system attached to the Earth (called earth coordinate system in the rest of the description). By doing so the stored heading is independent from the movement of the primary stations.

5

### III.1: Calculation of the heading in a coordinate system attached to the primary station

The following part describes an example of a calculation method by referring to figure 6, for a CDMA (Code Division Multiple Access) primary station which antenna structure comprises a plurality of antennas. According to figure 6 the receiving device 17 of the primary station comprises the following

10 functional parts: a radio-frequency input RFIN, a frequency conversion stage FCS, a de-spreading circuit DSC, a phase-locked loop PLL. The phase-locked loop PLL further comprises a phase-detector PD, a loop filter LPF and a controllable oscillator VCO.

Such a primary station basically operates as follows. The microprocessor 18 controls the antenna-switches X(1)-X(6) such that one of the directional antennas A(2)-A(6) is coupled to the

15 radio-frequency input RFIN. The frequency conversion stage FCS converts a radio signal RF at the radio frequency input RFIN into an intermediate-frequency signal IF. Both the radio-signal RF and the intermediate-frequency signal IF are spread spectrum signals. The de-spreading circuit DSC de-spreads, in effect, the intermediate frequency signal IF. Accordingly, the de-spreading circuit DSC provides a narrow-spectrum carrier signal CS to the phase-locked loop PLL. The phase-detector PD of

20 the phase-locked loop PLL provides a phase-error signal PES to the microprocessor 18.

The microprocessor 18 controls the antenna-switches X(1)-X(6) in the following manner. Let it be assumed that antenna A(2) is coupled to the radio-frequency input RFIN. The microprocessor 18 determines during which periods the narrow-spectrum carrier signal CS is substantially free of phase modulation. It may do so, for example, by identifying when the radio signal RF conveys a series of

25 zeroes or ones as information. During such a period, the microprocessor 18 de-couples the antenna A(2) so as to couple an other antenna, for example antenna A(3), to the radio frequency input RFIN. Thus, in effect, the microprocessor 18 switches from antenna A(2) to antenna A(3). This will cause a sudden change in the phase-error signal PES. The microprocessor 18 measures this change which is representative of a phase-difference between the radio signal RF at the antenna A(2) and A(3). This

phase difference is representative of the distance difference between both radio signals. From this information, the microprocessor 18 calculates an angle of arrival of the radio signal RF in a Cartesian system which is defined by the antennas A(2) and A(3). Subsequently, the microprocessor 18 switches from antenna A(3) to another antenna, for example antenna A(4), and calculates an angle of arrival in  
5 an other Cartesian system which is defined by antennas A(3) and A(4). Using the calculated angles of arrival, the microprocessor 18 calculates a tri-dimensional bearing vector which points to the source of the radio signal RF. This vector is the heading of the emitting secondary station.

This method is described in EP patent application n° 98402738.3 applied by Koninklijke Philips Electronics N.V. and not yet published.

10 Other methods can be used to obtain the headings of the active and alternative secondary stations. For example secondary stations headings can be obtained by GPS measurements (GPS stands for Global Positioning System).

### III.2: Conversion in a coordinate system attached to the earth.

15 The following part describes an example of a conversion method by referring to figures 7 and 8. This conversion method uses the three-dimensional measurements of the earth magnetic field and of the earth gravitational field as well as the values of reference angles associated with the earth magnetic field, the inclination and the declination, which will be defined later. To provide measurements of the earth magnetic (**H**) and gravitational (**G**) fields the primary station must have  
20 magnetic field sensors and gravitational field sensors. This means that in this embodiment the sensing device 19 of figure 2 comprises magnetic field sensors and gravitational field sensors. Microprocessor 18 reads the outputs from each sensor and makes the calculations required to make the conversion.

The magnetic field and the gravitational field sensors are preferably three-dimensional sensors. Preferably, the three-dimensional magnetic field sensor is a sensor using three, preferably  
25 orthogonal, AMR (Anisotropic Magneto Resistive) magnetic field sensor elements that are cheap and have a very fast real time response characteristic. The three-dimensional gravitational field sensor is preferably the association of two two-dimensional gravitational field sensor elements that are also quite cheap components and have a fast real time response.

The local coordinate is defined by a set of three orthogonal vectors ( $\mathbf{i}$ ,  $\mathbf{j}$ ,  $\mathbf{k}$ ) of unit length (see Fig. 7). The earth coordinate system is defined by a set of three orthogonal vectors ( $\mathbf{I}$ ,  $\mathbf{J}$ ,  $\mathbf{K}$ ) of unit length. The  $\mathbf{I}$ ,  $\mathbf{J}$ ,  $\mathbf{K}$  system is defined according to Figure. 7:

- $\mathbf{I}$  is coincident with the direction of the earth gravitational field ( $\mathbf{G}$ ).
- 5 -  $\mathbf{J}$  is coincident with the direction of the geographic north ( $\mathbf{N}$ ).
- $\mathbf{K}$  is coincident with the direction of the geographic east ( $\mathbf{E}$ ).

The heading of a secondary station is defined by a vector  $\mathbf{r}$ . With reference to the local coordinate system, this vector is expressed as:

$$\mathbf{r} = r_x \mathbf{i} + r_y \mathbf{j} + r_z \mathbf{k} \quad [1]$$

- 10 where  $r_x$ ,  $r_y$  and  $r_z$  are obtained as explained in paragraph III.1.

This heading is expressed in the earth coordinate system as:

$$\mathbf{r} = R_x \mathbf{I} + R_y \mathbf{J} + R_z \mathbf{K} \quad [2]$$

where the coordinates  $R_x$ ,  $R_y$  and  $R_z$  are unknown.

- 15 Figure 8 describes the different steps that lead to the conversion from the local coordinates ( $r_x$ ,  $r_y$ ,  $r_z$ ) into the earth coordinates ( $R_x$ ,  $R_y$ ,  $R_z$ ).

- ♦ At appropriate time intervals, the computing procedure starts (ST).
- ♦ During a step S1, the local coordinates ( $r_i$ ) corresponding to the vector  $\mathbf{r}$  are read.
- ♦ During a step S2, the values of reference angles associated with the earth magnetic field  $\mathbf{H}$  are downloaded. These reference angles are the inclination and the declination and are defined, according

- 20 to Figure 7:

- declination ( $\delta$ ) is the angle between the direction of the geographic north ( $\mathbf{N}$ ) and the horizontal projection  $\mathbf{H}_h$  of the earth magnetic field  $\mathbf{H}$ , in the horizontal plane (HP). This value is measured positive through east ( $\mathbf{E}$ ), and varies between 0 and 360 degrees.
- inclination ( $\theta$ ) is the angle between the horizontal projection  $\mathbf{H}_h$  of the earth magnetic field  $\mathbf{H}$ , and
- 25 the earth magnetic field  $\mathbf{H}$ . Positive inclinations correspond to a vector  $\mathbf{H}$  pointing downward, negative inclinations to a vector  $\mathbf{H}$  pointing upward. Inclination varies between -90 and 90 degrees.

The values of the inclination and declination depend on the position of the primary station on earth. They are calculated on the basis of the geographical coordinates of the primary station. The

declination and inclination angles are also variable with time, following to the so-called "secular" variations. Dedicated observatories have measured these variations during several centuries. The worst-case secular variation in the last 500 years has been of 2 degrees per decade. Taking into account that the directivity of antennas is wider than this figure, it is possible to use a fixed value for the declination and inclination without a significant impairment to the performance of the communication system.

In the present embodiment, the values of the declination and inclination at the position of the primary station can be obtained by different ways:

- By reception from the secondary station. The secondary station may broadcast the declination and inclination of its position, by means of a common downlink channel. This type of channels are found in most cellular systems. Although the values of declination and inclination at the secondary station are not exactly the same as in the position of the primary station, the difference is very small for the normal size of a communication cell.
- By reading an on-board geographical data base of declinations and inclinations expressed as a function of the primary station geographical coordinates (latitude/longitude). The primary station coordinates are provided by the fixed part of the communication network (using, for example, trilaterization methods) or by an on-board GPS receiver.
- By periodic consultation of an internet geographical data base, that returns the declination and inclination as a function of the primary station geographical coordinates. Radio packet services available in all second and third generation mobile network standards are able to provide this service in a fast, reliable and inexpensive way.

The values of the inclination and declination can be stored in any type of memory, depending on the previous described acquisition mode, for example in a flash memory.

- ♦ During step S3, magneto-resistive field sensors with the sensitivity and accuracy required for the measurement of the earth magnetic field and attached to the primary station, provide the measurements of the local coordinates of the earth magnetic field **H**. The earth magnetic field is expressed in the local coordinate system as follows:

$$\mathbf{H} = H_x \mathbf{i} + H_y \mathbf{j} + H_z \mathbf{k} \quad [3]$$



The direction of the earth magnetic field is then expressed by a vector **h** having the same direction as **H** but unitary length:

$$\mathbf{h} = \frac{1}{H} \mathbf{H} = \frac{H_x}{H} \mathbf{i} + \frac{H_y}{H} \mathbf{j} + \frac{H_z}{H} \mathbf{k} = h_x \mathbf{i} + h_y \mathbf{j} + h_z \mathbf{k} \quad [4]$$

where H is the field strength.

- 5 ♦ During step S4, gravitational field sensors with adequate sensitivity and accuracy required for the measurement of the earth gravitational field and attached to the primary station, provide the measurements of the local coordinates of the earth gravitational field **G**. The earth gravitational field is expressed in the local coordinate system as follows:

$$\mathbf{G} = G_x \mathbf{i} + G_y \mathbf{j} + G_z \mathbf{k} \quad [5]$$

- 10 The direction of the earth gravitational field is expressed by a vector **g** having the same direction as **G** but unitary length:

$$\mathbf{g} = \frac{1}{G} \mathbf{G} = \frac{G_x}{G} \mathbf{i} + \frac{G_y}{G} \mathbf{j} + \frac{G_z}{G} \mathbf{k} = g_x \mathbf{i} + g_y \mathbf{j} + g_z \mathbf{k} \quad [6]$$

where G is the field strength.

According to Figure 7, **I** is a vector of unit length which direction is coincident with the earth

- 15 gravitational field. This is precisely the definition of **g**, which is expressed according to [6]. Therefore:

$$\mathbf{I} = g_x \mathbf{i} + g_y \mathbf{j} + g_z \mathbf{k} \quad [7]$$

Vector **h** is carried over **J** by means of two consecutive rotations:

- A first rotation around the axis  $\mathbf{I} \otimes \mathbf{h}$ , of angle  $\theta$ . This movement will put **h** over the horizontal plane (HP).

- 20 - A second rotation around the axis **I**, of angle  $\delta$ . This movement will put **h** directly over the vector **J**.

Vector rotations are linear transformations that are represented by a 3x3 matrix:  $\mathbf{R}_i(\mathbf{u}, \alpha)$ . The components of  $\mathbf{R}_i$  are expressed as a function of the coordinates of the vector defining the rotation axis **u** ( $u_x, u_y, u_z$ ) and of the rotation angle ( $\alpha$ ) as follows:

$$\mathbf{R}_i = \begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix} \quad \text{with} \quad \begin{cases} r_{11} = u_x^2 + (1 - u_x^2) \cos \alpha \\ r_{12} = u_x u_y (1 - \cos \alpha) + u_z \sin \alpha \\ r_{13} = u_x u_z (1 - \cos \alpha) - u_y \sin \alpha \\ r_{21} = u_x u_y (1 - \cos \alpha) - u_z \sin \alpha \\ r_{22} = u_y^2 + (1 - u_y^2) \cos \alpha \\ r_{23} = u_y u_z (1 - \cos \alpha) + u_x \sin \alpha \\ r_{31} = u_x u_z (1 - \cos \alpha) + u_y \sin \alpha \\ r_{32} = u_x u_z (1 - \cos \alpha) - u_x \sin \alpha \\ r_{33} = u_z^2 + (1 - u_z^2) \cos \alpha \end{cases}$$

- During step S5, the coordinates of the vector  $\mathbf{e}$  of unit length corresponding to the first rotation axis are calculated as follows:

$$\mathbf{e} = \frac{\mathbf{I} \otimes \mathbf{h}}{|\mathbf{I} \otimes \mathbf{h}|} \quad [8]$$

- 5 The components of  $\mathbf{e}$  are derived using the expressions [4] and [7]:

$$e_x = \frac{g_y h_z - g_z h_y}{\sqrt{(g_y h_z - g_z h_y)^2 + (g_z h_x - g_x h_z)^2 + (g_x h_y - g_y h_x)^2}} \quad [9]$$

$$e_y = \frac{g_z h_x - g_x h_z}{\sqrt{(g_y h_z - g_z h_y)^2 + (g_z h_x - g_x h_z)^2 + (g_x h_y - g_y h_x)^2}} \quad [10]$$

$$e_z = \frac{g_x h_y - g_y h_x}{\sqrt{(g_y h_z - g_z h_y)^2 + (g_z h_x - g_x h_z)^2 + (g_x h_y - g_y h_x)^2}} \quad [11]$$

- During step S6, the first rotation  $\mathbf{R}_1(\mathbf{e}, \theta)$  is called. The calculated coefficients of the matrix

- 10 corresponding to this vector rotation are:

$$\overline{r_{ij}} = r_{ij}(e_x, e_y, e_z, \theta) = \begin{bmatrix} \overline{r_{11}} & \overline{r_{12}} & \overline{r_{13}} \\ \overline{r_{21}} & \overline{r_{22}} & \overline{r_{23}} \\ \overline{r_{31}} & \overline{r_{32}} & \overline{r_{33}} \end{bmatrix} \quad [12]$$

- During step S7, the vector  $\mathbf{h}_h$  is derived as follows:

$$\mathbf{h}_h = \mathbf{R}_1 \mathbf{h} \quad [13]$$

After computing, it results in:

$$15 \quad \mathbf{h}_h = h_{hx} \mathbf{i} + h_{hy} \mathbf{j} + h_{hz} \mathbf{k} \quad [14]$$

where:

$$- \quad h_{hx} = h_x \overline{r_{11}} + h_y \overline{r_{21}} + h_z \overline{r_{31}} \quad [15]$$

$$- \quad h_{hy} = h_x \overline{r_{12}} + h_y \overline{r_{22}} + h_z \overline{r_{32}} \quad [16]$$

$$- \quad h_{hz} = h_x \overline{r_{13}} + h_y \overline{r_{23}} + h_z \overline{r_{33}} \quad [17]$$

- 5 ♦ During step S8, the second rotation  $\mathbf{R}_2(\mathbf{g}, \delta)$  is called. The calculated coefficients of the matrix corresponding to this vector rotation are:

$$\overline{\overline{r_{ij}}} = r_{ij}(\mathbf{g}_x, \mathbf{g}_y, \mathbf{g}_z, \delta) = \begin{bmatrix} \overline{\overline{r_{11}}} & \overline{\overline{r_{12}}} & \overline{\overline{r_{13}}} \\ \overline{\overline{r_{21}}} & \overline{\overline{r_{22}}} & \overline{\overline{r_{23}}} \\ \overline{\overline{r_{31}}} & \overline{\overline{r_{32}}} & \overline{\overline{r_{33}}} \end{bmatrix} \quad [18]$$

- ♦ During step S9, the vector  $\mathbf{J}$  is derived as follows:

$$\mathbf{J} = \mathbf{R}_2 \mathbf{h}_h \quad [19]$$

- 10 After computing, it results in:

$$\mathbf{J} = J_x \mathbf{i} + J_y \mathbf{j} + J_z \mathbf{k} \quad [20]$$

where:

$$- \quad J_x = h_{hx} \overline{\overline{r_{11}}} + h_{hy} \overline{\overline{r_{21}}} + h_{hz} \overline{\overline{r_{31}}} \quad [21]$$

$$- \quad J_y = h_{hx} \overline{\overline{r_{12}}} + h_{hy} \overline{\overline{r_{22}}} + h_{hz} \overline{\overline{r_{32}}} \quad [22]$$

$$15 \quad - \quad J_z = h_{hx} \overline{\overline{r_{13}}} + h_{hy} \overline{\overline{r_{23}}} + h_{hz} \overline{\overline{r_{33}}} \quad [23]$$

- ♦ During step S10, Vector  $\mathbf{K}$  is obtained as follows:

$$\mathbf{K} = K_x \mathbf{i} + K_y \mathbf{j} + K_z \mathbf{k} = \mathbf{I} \otimes \mathbf{J} \quad [24]$$

Using the expressions of  $\mathbf{I}$  and  $\mathbf{J}$  given by [7] and [20]:

$$\mathbf{K} = (g_y J_z - g_z J_y) \mathbf{i} + (g_z J_x - g_x J_z) \mathbf{j} + (g_x J_y - g_y J_x) \mathbf{k} \quad [25]$$

- 20 ♦ During step S11, the expression of the vector  $\mathbf{r}$  in the local coordinate system is derived from the expression [2] of the same vector in the earth coordinate system, and by replacing  $\mathbf{I}$ ,  $\mathbf{J}$  and  $\mathbf{K}$  with their expressions [7], [20] and [25]:

$$\mathbf{r} = (R_x g_x + R_y J_x + R_z K_x) \mathbf{i} + (R_x g_y + R_y J_y + R_z K_y) \mathbf{j} + (R_x g_z + R_y J_z + R_z K_z) \mathbf{k} \quad [26]$$

Considering the expression [26] of  $r$  and identifying the coefficients to the ones of the expression [1] results in:

$$g_x R_x + J_x R_y + K_x R_z = r_x \quad [27]$$

$$g_y R_x + J_y R_y + K_y R_z = r_y \quad [28]$$

$$5 \quad g_z R_x + J_z R_y + K_z R_z = r_z \quad [29]$$

The solution of the linear system with unknowns  $R_x, R_y, R_z$  is obtained by using the Cramer's method, and provides the coordinates (rg) of the heading of the secondary station in the earth coordinate system:

$$R_x = \frac{\Delta_x}{\Delta} \quad [30]$$

$$10 \quad R_y = \frac{\Delta_y}{\Delta} \quad [31]$$

$$R_z = \frac{\Delta_z}{\Delta} \quad [32]$$

where:

$$- \Delta_x = J_y K_z r_x + J_x K_y r_z + J_z K_x r_y - (J_y K_x r_z + J_z K_y r_x + J_x K_z r_y) \quad [33]$$

$$- \Delta_y = g_x K_z r_y + g_z K_y r_x + g_y K_x r_z - (g_z K_x r_y + g_x K_y r_z + g_y K_z r_x) \quad [34]$$

$$15 \quad - \Delta_z = g_x J_y r_z + g_z J_x r_y + g_y J_z r_x - (g_z J_y r_x + g_x J_z r_y + g_y J_x r_z) \quad [35]$$

$$- \Delta = g_x J_y K_z + g_z J_x K_y + g_y J_z K_x - (g_z J_y K_x + g_x J_z K_y + g_y J_x K_z) \quad [36]$$

The values  $R_x, R_y, R_z$  are stored.

- ♦ At the end of the calculation, the procedure returns (RET) to the starting point.

This conversion method is described in EP patent application n°99400960.3 applied by  
20 Koninklijke Philips Electronics N.V. and not yet published. This method is particularly advantageous but other conversion methods could also be used, for example methods using a gyroscope or a GPS (Global Positioning System) systems. Thus the above described method is not restrictive.

#### IV. Storage of headings

Once the headings are calculated in the earth coordinate system they are stored. In practice three sets are built: a first set called active set contains the active secondary station(s), a second set called alternative set contains the alternative secondary stations, and a third set called remaining set  
5 contains all other available secondary stations. These sets use the identifiers of the secondary stations as pointers. The active set and the alternative set contain for each secondary station the quality data and the three coordinates of the secondary station's heading in the coordinate system attached to the earth. The remaining set only contains the quality data.

10 A detailed example of an initialization phase will now be described by reference to figure 9 for a CDMA primary station having a plurality of directional antennas.

At step 600 the primary station is powered on. At step 601 an index  $i$  is set to one, indicating that the processing will start by using antenna  $A(i=1)$ . At step 602 the primary station scans the PSCH availability by correlating the received signal with a local copy of the spreading code of the PSCH

15 (PSCH stands for Primary Synchronization Channel). Then at step 603 the quality of the received signal (called FOM for Figure Of Merit) is evaluated by means of the received power for each available secondary station. Then at step 604 the secondary station  $SS_{MAX}$  having the highest quality is selected.

At step 605 its quality is compared to a threshold  $T1$ . This threshold  $T1$  corresponds to the minimum level allowing acceptable detection of the received signal. If the evaluated quality is below the

20 threshold, index  $i$  is incremented and processing is repeated from step 602 with another antenna  $A(i+1)$ . If the quality exceed the threshold further processing is performed at step 606 to obtain complete identification of the selected secondary station. This further processing includes:

- scanning the SSCH incoming channel by correlation with a local version of the possible SSCH spreading codes (SSCH stands for Secondary Synchronization Channel).
- 25 - decoding the code group corresponding to the received secondary station by using the spreading code of the SSCH.
- synchronizing the primary station with the cell frame timing.
- scanning the PCCPCH in order to identify the secondary station scrambling code (PCCPCH stands for Primary Common Control Physical Channel).

- decoding the secondary station scrambling code.

At this point the received secondary station is completely identified. Alternative quality data may be calculated. For example the BER based on the PCCPCH pilot bits, or the FER based on the PCCPCH complete frame. This new quality data is calculated at step 607. At step 608 this quality data is stored  
5 in the RANK table.

Once the process corresponding to the selected secondary station is completed, the process is repeated from step 604 for the remaining available secondary stations.

Once the process is completed for all available secondary stations and for antenna  $A(i)$ , the index  $i$  is incremented and if  $i \leq i_{MAX}$  the process is repeated for antenna  $A(i+1)$ . When  $i > i_{MAX}$ , the process goes  
10 on at step 610.

At step 610 the secondary station - antenna pair having the highest quality is selected. At step 611 the quality of this pair is tested against a threshold  $T2$  ( $T2$  is defined depending on the quality data which is used; if it is the received power then  $T2=T1$ ). If the quality data is below the threshold, no system is available and an information message is delivered to the user (step 612) and the process  
15 terminates at step 630. If the quality data of the selected pair is above the threshold the primary station sends a request (REQ) to the selected secondary station for adding this secondary station to the active set (step 613). If this request is acknowledged (ACK), the primary station measures the heading of the secondary station of the selected pair in local coordinates at step 614. Then at step 615 the coordinates of the heading are converted in a earth coordinate system. At step 616 the  
20 heading is stored together with the quality data in the active set ACT. If the request is rejected (NACK), the process goes back to step 610 for selecting another pair relating to another secondary station.

At step 620, a "neighbors" list  $L$  corresponding to the active secondary station is read in the common downlink channel. At step 621 identity of the members of the list are loaded in the RANK  
25 table, setting a file for each secondary station. At step 622 a dedicated scanning is performed for each secondary station using all antennas. This process provides quality data for each secondary station - antenna pair. At step 623 these quality data are stored in the RANK table. At step 624 the quality data are compared to the threshold  $T2$ . RANK positions exceeding the threshold are considered as alternative secondary stations. At step 625 their headings are calculated in the earth coordinate

system. At step 626 the headings are stored together with the corresponding quality data in the alternative set ALT. Once the alternative set is filled up it is reordered (at step 627) using the value of the quality data as a criterium. Secondary stations of highest quality occupy first positions. At step 628 the quality data of the remaining secondary stations are stored in the remaining set REM. The

5 initialization process terminates at step 630.

A detailed example of the updating phase will now be described by reference to figures 10 and 11 for a CDMA primary station having a plurality of directional antennas. As indicated on figure 10 updating intervals  $U_i$  are interleaved between paging intervals  $P_j$  in order to avoid losing incoming calls. During one updating interval one secondary station is scanned through all antennas. This means  
10 that the updating interval contains a sub-interval dedicated to each antenna. During this sub-interval spreading code correlation is performed and the quality data is evaluated.

Figure 11 is a block diagram indicating the steps of an example of such an updating process. At step 701 the primary station reads the identifier(s) of the secondary station(s) contained in the active set. At step 702 the primary stations scans the corresponding secondary station(s) through all  
15 available antennas and elaborates the corresponding quality data (called FOM). At step 703 the information is stored in the RANK table. At step 704 the primary station reads the identifier(s) of the secondary station(s) contained in the alternative set. At step 705 the primary station scans the corresponding secondary station(s) through all available antennas and elaborates the corresponding quality data. At step 706 the information are stored in the RANK table. At step 707 the primary station  
20 reads the identifier(s) of the secondary station(s) contained in the remaining set. At step 708 the primary station scans the corresponding secondary station(s) through all available antennas and elaborates the corresponding quality data. At step 709 the information are stored in the RANK table. At step 710 the primary station searches for the Maximum MAX of the quality data. At step 711 the value of this maximum is checked. If it is below the threshold  $T_2$ , this means that the system is  
25 unavailable. At step 712 a message is displayed to inform the user. Then the operation starts again at the beginning of the initialization process (step 601). If it is above the threshold  $T_2$  the updating process goes on. At step 713 the primary station scrolls over all secondary stations contained in the alternative and remaining sets:

- If the quality data (FOM) for one secondary station is below the threshold  $T_2$ , this secondary station

is loaded into the remaining set (step 714). Once the scrolling is completed the remaining set is reordered in descending order (step 715).

- If the quality data of one secondary station is above the threshold  $T_2$ , this secondary station is loaded into the alternative set (step 716). Once the scrolling is completed the alternative set is

5 reordered in descending order (step 717).

Then at step 720 secondary stations belonging to the alternative set ( $B_A$ ) are compared with a new threshold resulting from the quality data of the former active secondary station ( $B_F$ ) and an additional difference ( $D_{T1}$ ). If no secondary station exceeds this new threshold, the former

secondary station ( $B_F$ ) is confirmed for the next period (step 721). If there are secondary stations  
10 exceeding the new threshold, the one having the highest quality (FOM) becomes the active secondary station (step 722) . This means that a handoff occurs. This secondary station is loaded into the active set.

At step 740 headings of the secondary stations of the active and alternative set are calculated and stored in the corresponding set. The updating process terminates at step 750.



## CLAIMS

1. A primary radio station (4) for use in a communication system comprising a plurality of secondary radio stations (1), said primary station having:
- 5    - a multi-directional controllable antenna structure(A(1)-A(6)), for emitting / receiving radio signals,  
- acquisition means (17, 18) for acquiring data relating to at least one of said secondary stations from at least one received radio signal,  
- selection means (18) for selecting, when possible, based on acquired data, at least an active secondary station (B\_ACT), and at least an alternative secondary station (B\_ALT(j)) suitable for  
10    becoming active,  
- calculation means (18, 19) for calculating the directions (H\_ACT, H\_ALT(j)) of signals received from the selected secondary stations (B\_ACT, B\_ALT(j)),  
- storage means (18) for storing the calculated directions,  
- controlling means (C(1)-C(6)) for controlling said antenna structure depending on stored directions.
- 15
2. A primary station as claimed in claim 1, having tracking means (18, C(i), X(i)) for tracking the direction of an active secondary station with said controllable antenna structure (A(i)).
3. A primary radio station as claimed in claim 1, in which the controllable antenna structure  
20    comprises a plurality of directional antennas, said data are quality data acquired for secondary station  
- antenna pairs, said active secondary station is the secondary station of the pair having the highest quality data, and said antenna structure is first controlled to select the antenna of the pair having the highest quality data.
- 25
4. A method for controlling a multi-directional controllable antenna structure in a primary radio station intended to communicate with secondary stations of a radio communication network, said method comprising:  
- an acquisition step (110) for acquiring data relating to at least one secondary station, from at least one received signal,

- a selection step (130, 150) for selecting, when possible, based on acquired data, at least an active secondary station, and at least an alternative secondary station suitable for becoming active,
- a calculation step (140, 160) for calculating the directions of signals received from the selected secondary stations,

- 5
- a storage step (140, 160) for storing the calculated directions,
  - a controlling step (180) for controlling said antenna structure depending on stored directions.

5. A radio communication network having a plurality of secondary stations, and at least one primary radio station as claimed in claim 1.

10

6. A computer program for use in a primary radio station having a multi-directional controllable antenna structure and intended to be used in a radio communication network having a plurality of secondary stations, said computer program comprising computer program code means to make a primary radio station:

- 15
- acquire data relating to at least one of said secondary station, from at least one received signal,
  - select, when possible, based on acquired data, at least an active secondary station, and at least an alternative secondary station suitable for becoming active,
  - calculate the directions of signals received from the selected secondary stations,
  - store the calculated directions,
- 20
- control said antenna structure depending on stored directions.

ABREGE

CONTROL OF MULTIDIRECTIONAL ANTENNA STRUCTURE IN A PRIMARY STATION FOR USE IN A  
RADIO COMMUNICATION NETWORK

5

The invention deals with a method for controlling a multidirectional antenna structure in a primary station for use in a radio communication networks comprising a plurality of secondary stations.

This method comprises the following steps:

- an acquisition step for acquiring data relating to secondary stations,
- 10 - a selection step for selecting, based on acquired data, an active secondary station, alternative secondary stations suitable for becoming active,
- a calculation step for calculating the directions of signals received from the selected secondary stations,
- a storage step for storing the calculated directions,
- 15 - a controlling step for controlling said antenna structure depending on stored directions.

Reference: figure 3

Application: Radio communications, notably third generation portable or mobile telephony.

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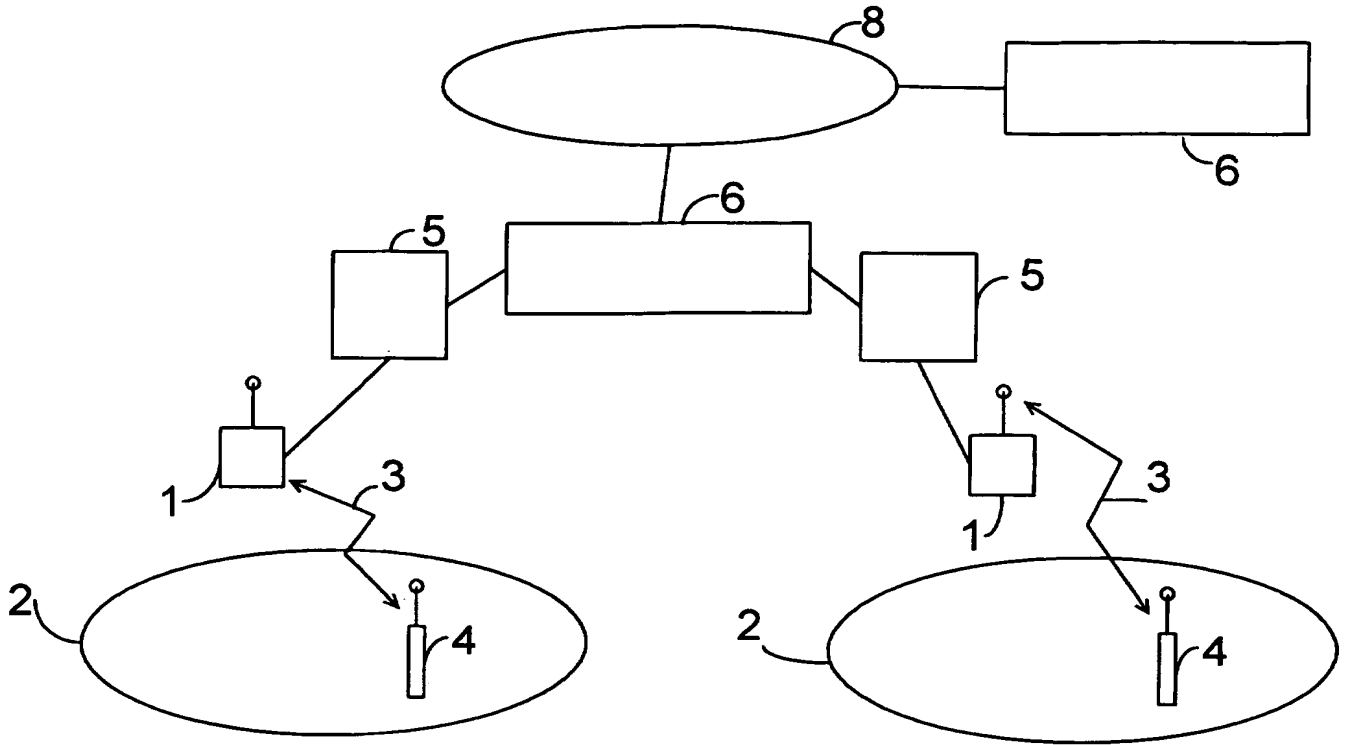


FIG. 1

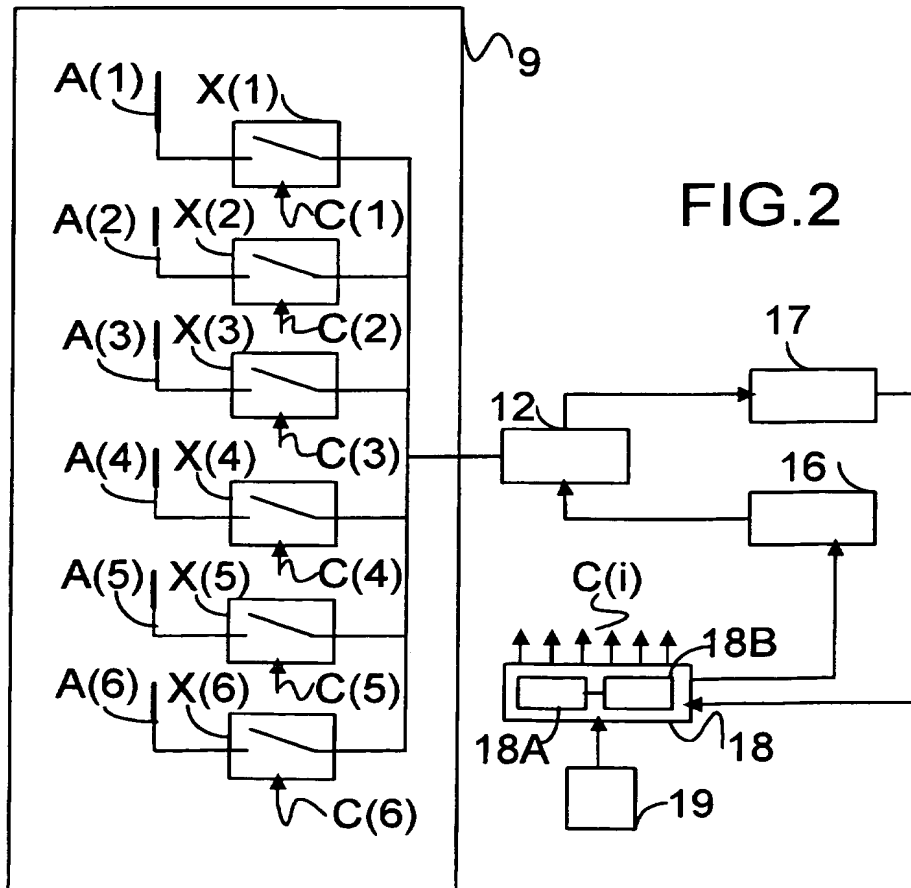


FIG. 2

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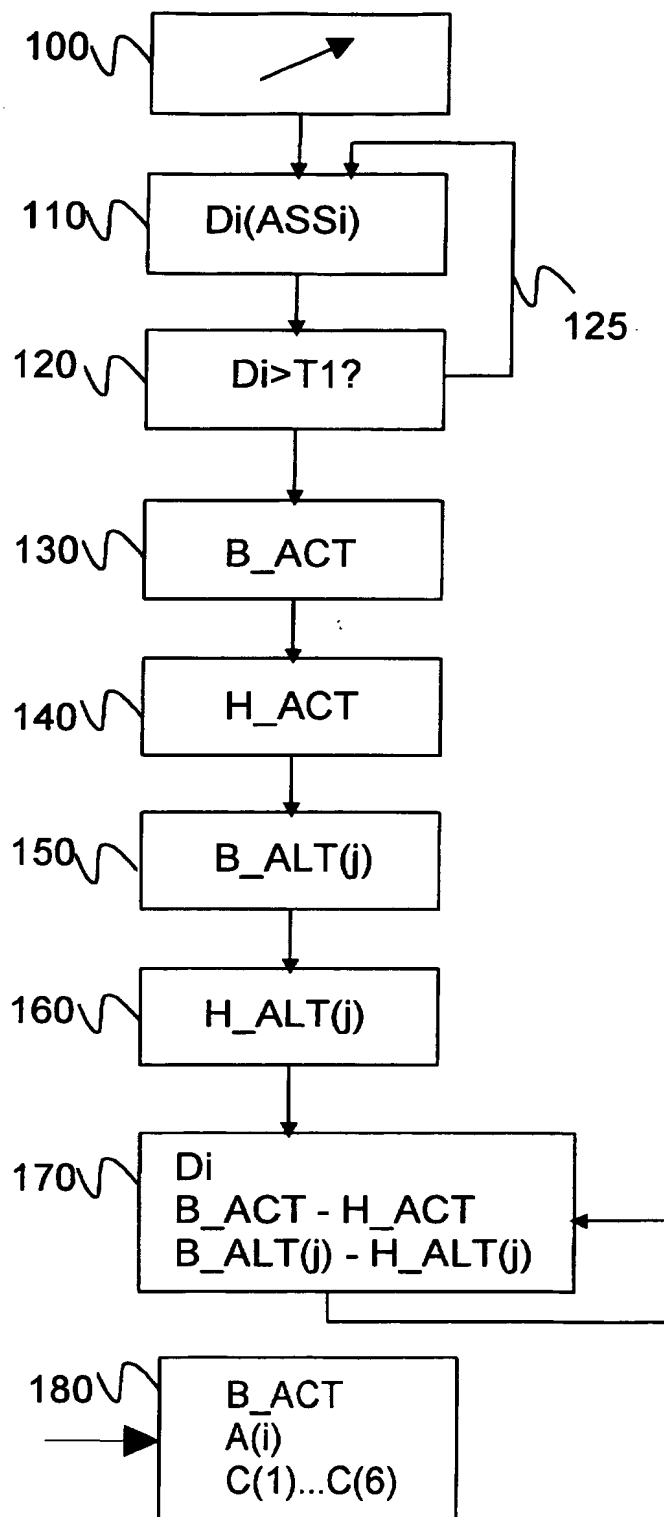
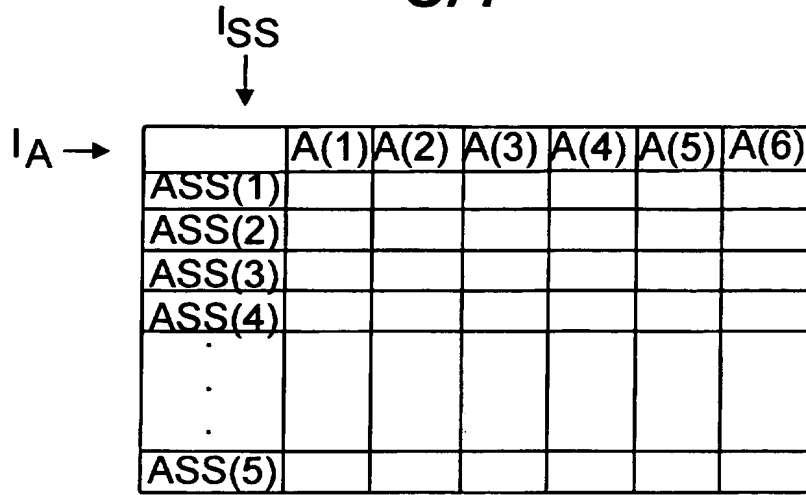


FIG.3

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RANK FIG.5

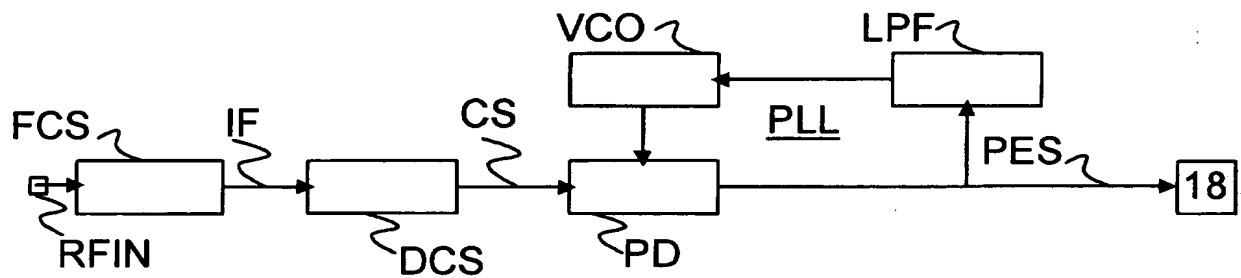


FIG.6

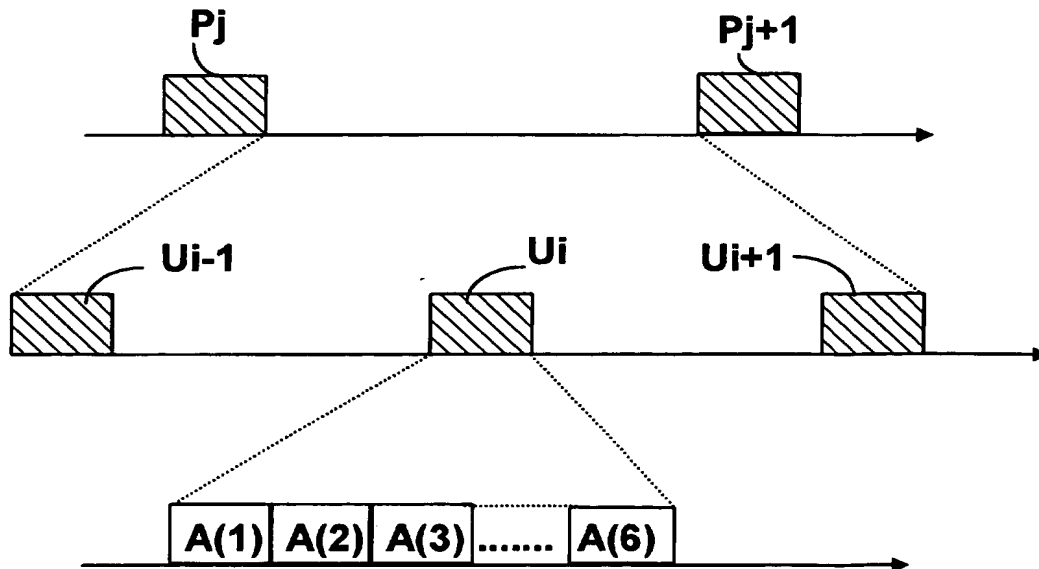


FIG.10

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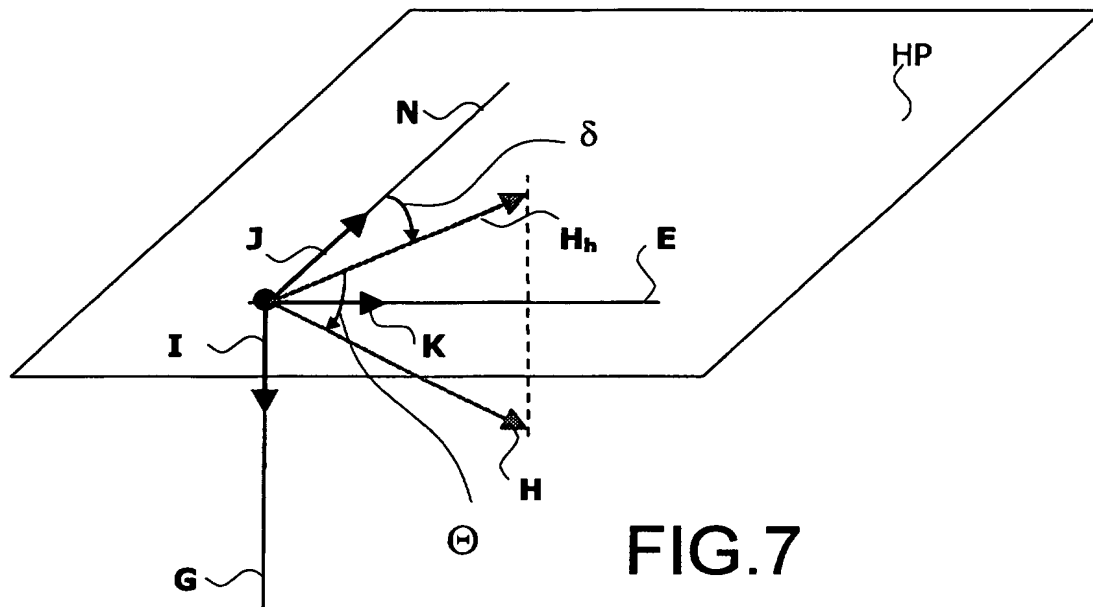


FIG.7

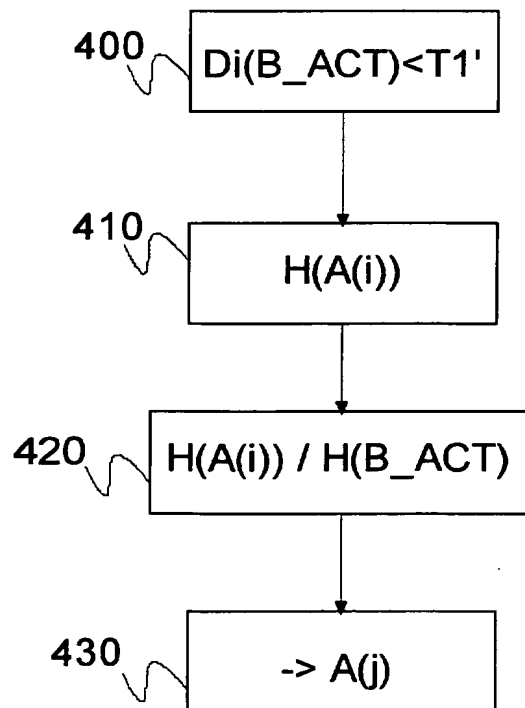


FIG.4



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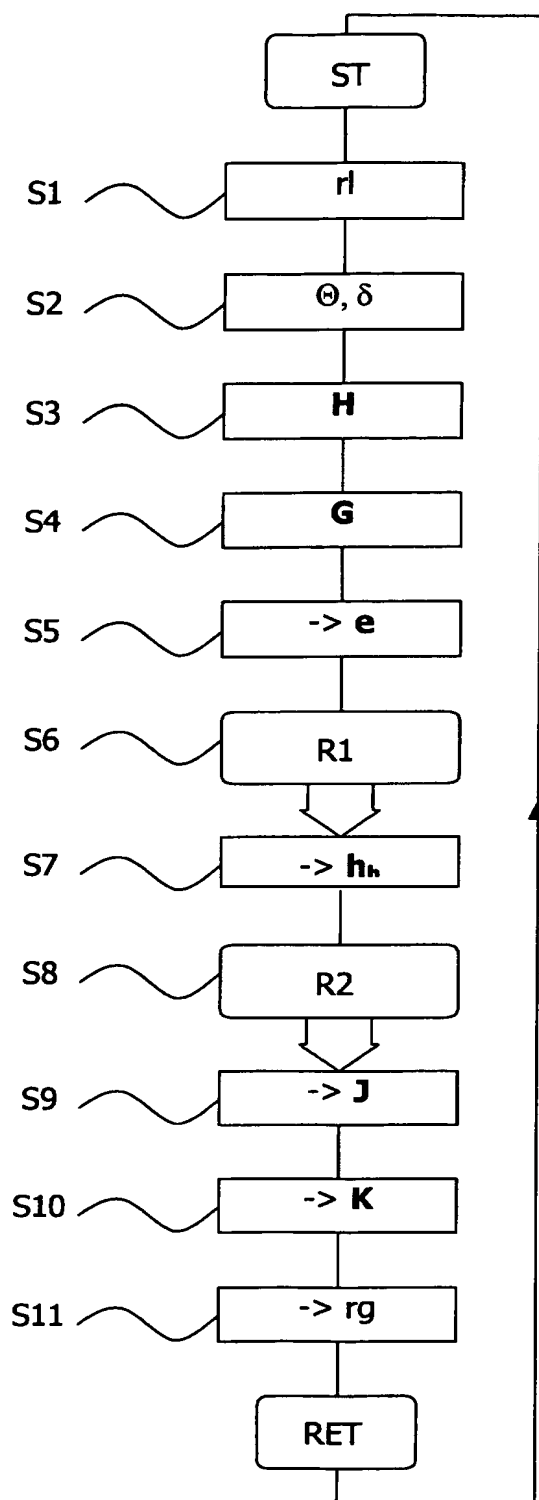


FIG.8

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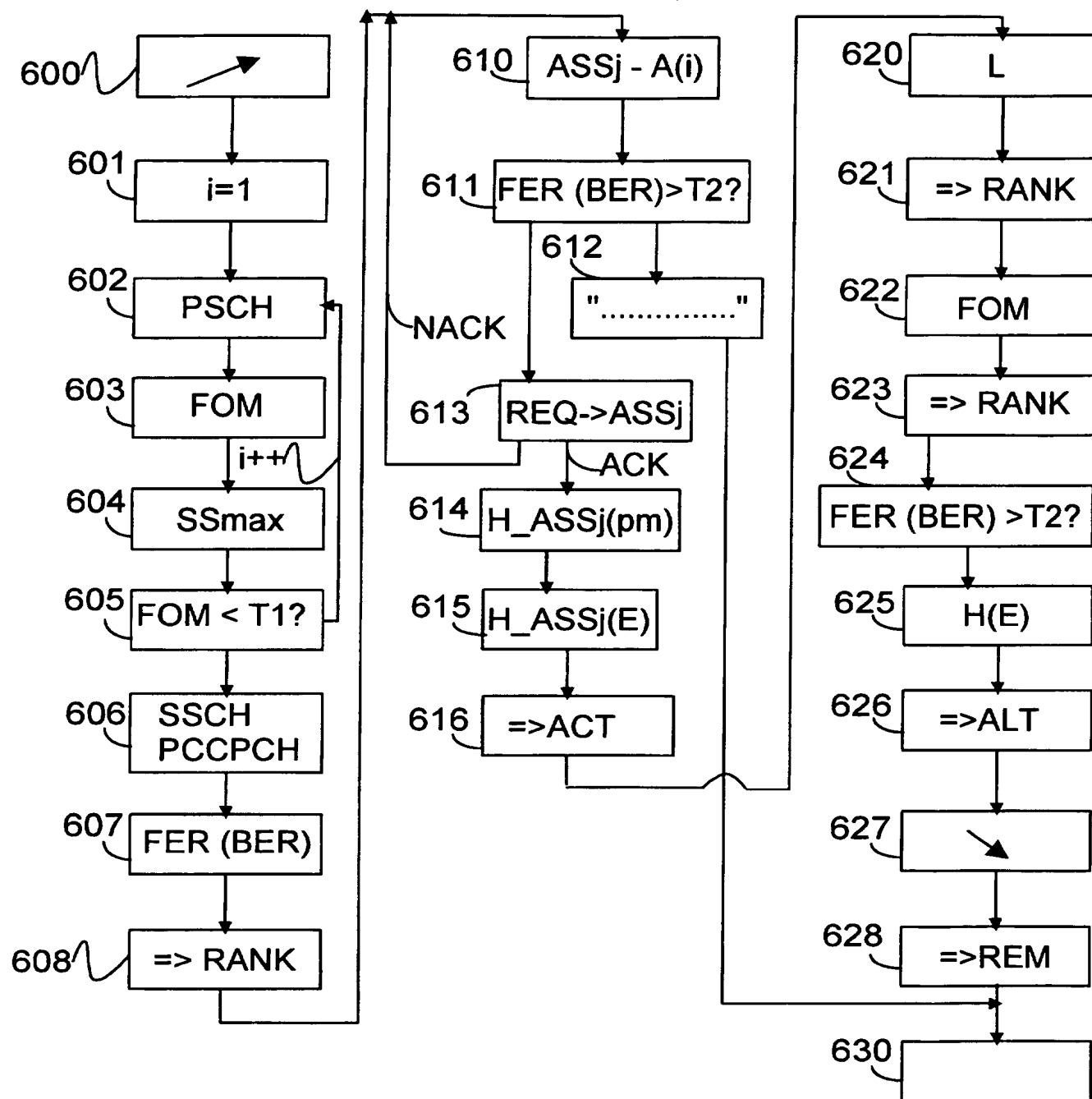


FIG.9

711

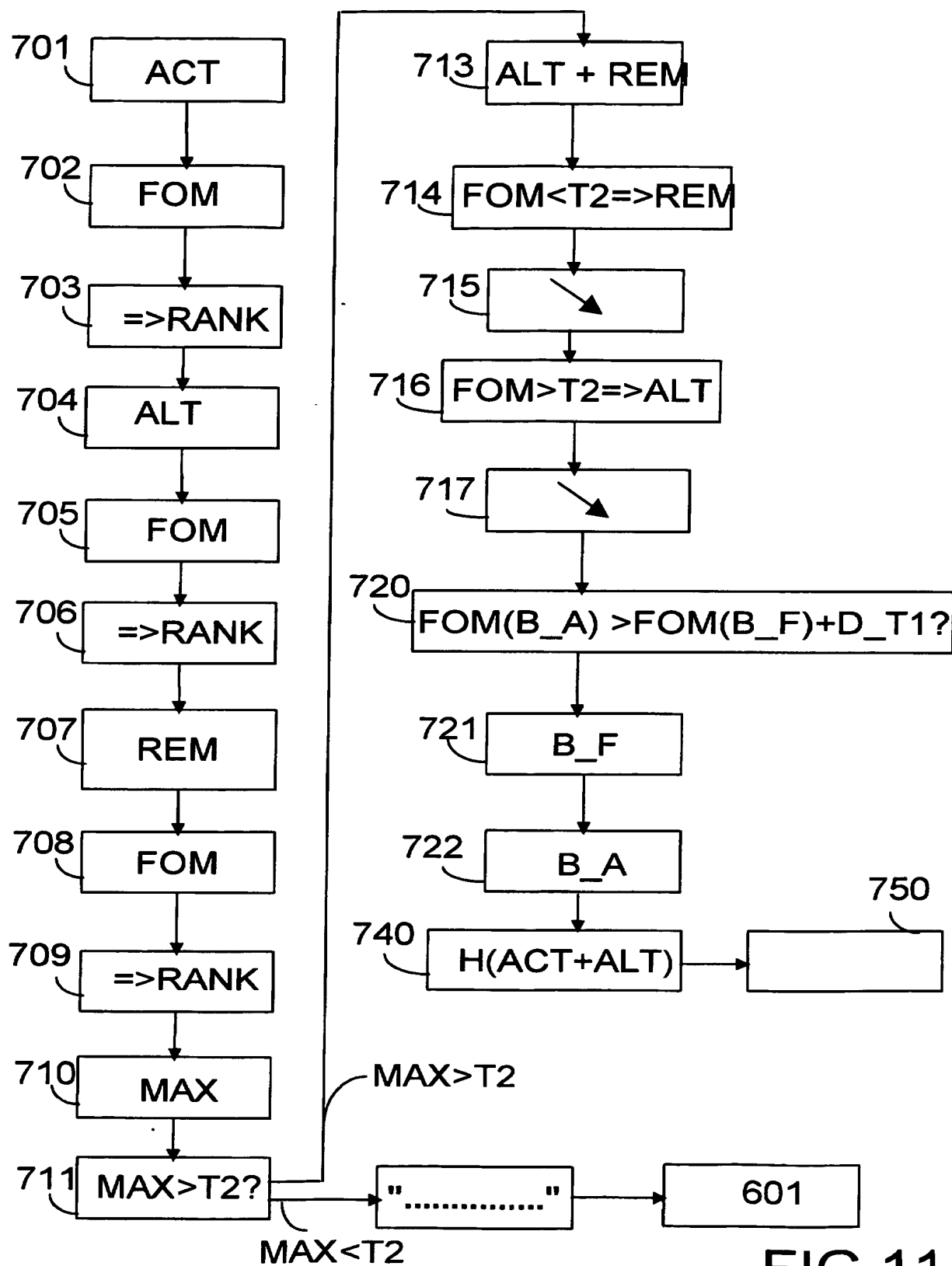


FIG.11

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